

PATENT

Docket No. IL-10786Customer No. 24981

Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):
**John E. Peterson, Stephen M. Maricle, Raymond M. Brusasco,
Bernardino M. Penetrante**

For (title): **Reduction of Damage Initiation Density in Fused Silica Optics via UV
Laser Conditioning**

1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
- ☐ Division
 - ☐ Continuation
 - ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

| Application Serial No. | Filing Date |
|------------------------|-------------|
| <hr/> | |

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

33 Pages of specification w/title page

4 Pages of claims

1 Pages of abstract

8 Sheets of drawings

☒ formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449

07/26/01
1133 U.S. PTO

11046 U.S. PTO
09/916847
07/26/01

09916847-072601

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ inventors
- ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ is attached
- ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

| | | |
|-----------|-------------------|---------|
| (country) | (application no.) | (filed) |
| (country) | (application no.) | (filed) |

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

| CLAIMS AS FILED | | | | | |
|--------------------|--------------|-----------------------|--------------|-------------------------|-------------|
| Type of Claim | Number Filed | Included in Basic Fee | Number Extra | Rate | Total Fee |
| Total Claims | 22 | -20 = | 2 | x \$18 = | \$ 36.00 |
| Independent Claims | 3 | -3 = | 3 | x \$80 = | \$ 0.00 |
| | | | | Multiple Claims | = \$ 36.00 |
| | | | | Basic Filing Fee | = \$ 710.00 |
| | | | | Sub-Total | = \$ 746.00 |
| | | | | Small Entity Filing Fee | = \$ 373.00 |

10. Small Entity Statement(s)

☐ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☒ Small Entity status is proper and desired

Filing Fee Calculation (50% of regular filing fee) \$ 373.00

11. Fee Payment

☐ Not Enclosed

☒ Enclosed (See Account No. Below)

Total Basic Filing Fees To Be Paid \$ 373.00

12. Method of Payment of Fees

☐ Check in the Amount of \$ _____

☒ Charge Account No. 12-0695 in the amount of \$ 373.00

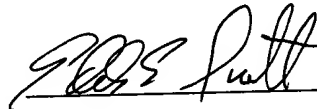
A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

☒ credit/charge

Account No. 12-0695

☐ refund



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Dated: July 25, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John E. Peterson, et al.

Attorney Docket No. : IL-10786

Serial No. :

Art Unit:

Filed :

Examiner:

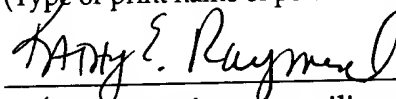
For : Reduction of Damage Initiation Density in Fused Silica Optics
via UV Laser ConditioningCommissioner for Patents
Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number EL674028223USDate of Deposit 7/26/01I hereby certify that the following *attached*

1. Recordation Cover Sheet w/ Assignment
2. New Application Transmittal (in duplicate)
3. Application
(Specification w/ Title Pg. 33 pages, Claims 4 pages, Abstract 1 page)
Combined Declaration and Power of Attorney
Eight (8) sheets of formal drawings;
4. Information Disclosure Statement (1 page)
5. Form PTO-1449 (1 page)
 - a) 11 U.S. Patent Documents
 - b) 1 Other Disclosure
6. Express Mail Certificate
7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Kathy E. Raymond

(Type or print name of person mailing paper)



(Signature of person mailing paper or fee)

09016847-072601

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

08/01/2001 BSAYASI1 00000022 120695 09916847

| | |
|-----------|-----------|
| 01 FC:201 | 355.00 CH |
| 02 FC:203 | 18.00 CH |